



# CONTRACTOR/SUPPLIER CLASSIFICATION FORM

REQUIRED INFORMATION – ALL INFORMATION MUST BE COMPLETED FOR BUSINESS TO REMAIN IN SUPPLIER DATABASE			
Company Name			
Company Address			
City		State	Zip
Supplier Representative or Service Contact Name		Phone Number	
E-Mail Address		Fax Number	
Dun & Bradstreet D-U-N-S No.		Primary Product or Service	
Type of Organization:			
<input type="checkbox"/> Corporation incorporated in the state of _____ or registered for business in the country of _____ <input type="checkbox"/> Sole Proprietor <input type="checkbox"/> Partnership <input type="checkbox"/> Non-profit Organization <input type="checkbox"/> Joint Venture			

BUSINESS CLASSIFICATION	
We hereby represent and certify that this is a:	
<input type="checkbox"/> Small Business (Check all that apply – Per 41 CFR 1-1.701) <ul style="list-style-type: none"> <li><input type="checkbox"/> Service Disabled Veteran Owned</li> <li><input type="checkbox"/> Woman Owned</li> <li><input type="checkbox"/> Veteran-Owned Small Business</li> <li><input type="checkbox"/> HUBZone Small Business (SBA-certified and verified in CCR)**</li> <li><input type="checkbox"/> Small Disadvantaged Small Bus (SBA-certified and verified in CCR-Per USCA 637 d3c)</li> </ul>	
<input type="checkbox"/> Minority Owned * <input type="checkbox"/> Disability Owned <input type="checkbox"/> American-Indian Owned <input type="checkbox"/> Not-For-Profit Business <input type="checkbox"/> Large Business	
** Requires certification from Small Business Administration.	
Obtain SBA information on the Internet: <a href="http://www.sba.gov">http://www.sba.gov</a> or call 202-205-6600, 202-606-4000, or 202-219-9148. The supplier represents that its qualifying ownership falls within at least one of the above categories. (See definitions and on the reverse.)	
North American Industry Classification System (NAICS) ( <a href="https://eweb1.sba.gov/naics/dsp_naicssearch2.cfm">https://eweb1.sba.gov/naics/dsp_naicssearch2.cfm</a> )	
1. List NAICS applicable to your company: _____	
2. Average number of employees (averaged over the last three completed fiscal years): _____	
3. Company Sales (averaged over the last three completed fiscal years): _____	

By signing below, the contractor hereby certifies and represents that the information provided is current, accurate, and complete (see Penalties for False Misrepresentation on the reverse). The contractor further certifies that it will notify PPL of any changes to said information provided.

Authorized Representative: \_\_\_\_\_ Authorized signature: \_\_\_\_\_  
 Title: \_\_\_\_\_ Date: \_\_\_\_\_

For PPL Use Only		
Vendor Code:	Company Subsidiary:	EDI? Yes No

<b>Please Mail Completed Form to:</b> <b>(Faxed copies are unacceptable)</b>	<b>PPL Supply Chain, Attn: SBLO</b> <b>2 North 9th Street, GENTW-12</b> <b>Allentown, PA 18101-1179</b> <b>Phone: 610-774-5927</b>
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- a. **Small business concern** - A business concern eligible for assistance from SBA as a small business is one that is organized for profit, with a place of business located in the United States. It must operate primarily within the United States or make a significant contribution to the U.S. economy through payment of taxes or use of American products, materials or labor. Together with its affiliates, it must meet the numerical size standards as defined in the Small Business Size Regulations, 13 CFR 121. *Self-Certification*
- b. **Women-Owned Small Business** - A business that meets the following criteria: (a) Is at least 51% owned by one or more women; or, in the case of any publicly owned business, at least 51% of the stock of which is owned by one or more women; and (b) Whose management and daily business operations are controlled by one or more women. *Self-Certification*
- c. **Hubzone** (requires certificate) - Historically Underutilized Business Zone. To qualify as a HUBZone small business concern, the firm must be: (a) Small; (b) Located in a "historically underutilized business zone" (HUBZone); (c) Owned and controlled by one or more U.S. Citizens; and, (d) One that at least 35% of its employees reside in a HUBZone. *Certification Process*
- d. **Small Disadvantaged Business** - A small business must be at least 51% owned and controlled by a socially and economically disadvantaged individual or individuals. African Americans, Hispanic Americans, Asian Pacific Americans, Subcontinent Asian Americans, and Native Americans are presumed to qualify. Other individuals can qualify if they show a preponderance of evidence that they are disadvantaged. All individuals must have a net worth of less than \$750,000, excluding the equity of the business and primary residence. Successful applicants must also meet applicable size standards for small businesses in their industry. *Certification Process*
- e. **American-Indian Owned Business** - Refers to any business owner who is a member of any Indian tribe, band, group, pueblo, or community which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs (BIA) in accordance with 25 U.S.C. 1452(c) and any "Native" as defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601). That same person must have 51% or more ownership in the firm, as well as daily control of the firm's management decisions. *Certification Process*
- f. **Veteran Owned Small Business** - A small business concern where: (A) Not less than 51% of which is owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51% of the stock of which is owned by one or more veterans; and (B) The management and daily business operations of which are controlled by one or more veterans. According to 38 U.S.C. 101(2), "veteran" is defined as "a person who served in the active military, naval, or air service, and who was discharged or released therefrom under conditions other than dishonorable." *Self-Certification*
- g. **Service Disabled Veteran Owned Small Business** - A small business concern where: (A) Not less than 51% of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51% of the stock of which is owned by one or more service-disabled veterans; and (B) The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran. A service-disabled veteran means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service-connected, as defined in 38 U.S.C. 101(16). From U.S.C. 101(16), the phrase service connected (in terms of service disabled) means: "with respect to disability or death, that such disability was incurred or aggravated, or that the death resulted from a disability incurred or aggravated, in line of duty in the active military, naval, or air service." *Self-Certification*
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**NOTIFICATION** - The Supplier agrees to notify PPL, before award, of any change in its status as a small disadvantaged business concern, occurring between the submission of this certification and contract award.

**Note: PENALTIES FOR FALSE MISREPRESENTATION: 1) FAR 52-219 (e) (4)—Misrepresentations of business status as a small, small disadvantaged, small women-owned, small veteran-owned (including service disabled), and HUBZone small business concern for the purpose of obtaining a subcontract that is to be included as part of or all of a goal contained in the requesting Contractor's subcontracting plan, without remedy, can result in severe penalties. Additionally, 2) -Under 15 U.S.C. 645(d), any person who misrepresents a firm's status in these same categories in order to obtain a contract to be awarded under the preference programs established pursuant to section 8(a), 8(d), 9 or 15 of the Small Business Act or any other provision of Federal law that specifically references section 8(d) for a definition of program eligibility, shall (i) Be punished by imposition of fine, imprisonment, or both; (ii) Be subject to administrative remedies, including suspension and debarment; and (iii) Be ineligible for participation in programs conducted under the authority of the Act. [End of Provision]**

By submitting the Contractor/Supplier Registration form, if a minority or other disadvantaged supplier classification is represented, and if PPL awards any contract, PPL could use the information represented to report any award amount and related information to municipal, state or government agencies of the United States. The submittal of this form will be acknowledged as an authorized signature.